

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appr. No.	10/735,281	Confirmation No.:	3229
Applicant	WILLIAM A. GARDNER; PATRICK M. WHELAN		
Title	SYSTEMS, DEVICES AND METHODS FOR OPENING A BOTTLE SEALED WITH A STOPPER AND FOR SEALING A BOTTLE		
Filed	DECEMBER 12, 2003		
TC/A.U.	3727		
Examiner			
Docket No.	GAR1756.17A7		
Cust. No.	8156		

## SUPPLEMENTAL DECLARATION

(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

## TYPE OF DECLARATION

This declaration is of the following type:

- ☐ original
- ☐ design
- ☒ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not check the next item; check appropriate one of last three items.

- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part

## INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

**SPECIFICATION IDENTIFICATION**

- ☐ the specification filed herewith by the above-named inventors, with the title listed above.
- ☐ the specification filed herewith by the above-named inventors, with the title listed above, and which was amended by the Preliminary Amendment filed herewith.
- ☐ the specification identified above, as amended by the Preliminary Amendment filed herewith.
- ☒ the specification identified above, and which was amended on MAY 21, 2003.
- ☐ PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_, and amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

**ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

**PRIORITY CLAIM (35 U.S.C. § 119(a)-(d))**

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or under 35 U.S.C. § 365(b) of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any foreign application(s) or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- X no such applications have been filed.
- such application have been filed as follows.

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	FILING DATE (day, month, year)	PRIORITY CLAIMED UNDER 37 U.S.C. § 119	
			— YES	— NO
			— YES	— NO
			— YES	— NO
			— YES	— NO
			— YES	— NO

### CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION SERIAL NUMBER      FILING DATE OF PROVISIONAL APPLICATION

60/443,020	JANUARY 27, 2003
60/382,410	MAY 21, 2002

### CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS UNDER 35 U.S.C. 120

(complete this part only if this is a divisional, continuation, CIP or national stage of PCT)

I/We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability under 37 C.F.R. § 1.56, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS  
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

**1. U.S. Applications:**

	FILING DATE
10/443,461	MAY 21, 2003
10/306,633	NOVEMBER 27, 2002
09/760,375	JANUARY 12, 2001
09/273,838	MARCH 22, 1999
08/746,799	NOVEMBER 18, 1996

**2. PCT Applications Designating The U.S.**

PCT APPLICATION NUMBER	PCT FILING DATE	U.S. SERIAL NUMBER

---

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**SIGNATURE(S)**

Full name of first joint inventor: WILLIAM A. GARDNER

Inventor's Signature: William A. GardnerDate: 7/19/04 Country of Citizenship: UNITED STATES

Residence: YOUNTVILLE, CALIFORNIA 94599

P.O. Address: 6950 YOUNT STREET  
YOUNTVILLE, CA 94599

Full name of second joint inventor: PATRICK M. WHELAN

Inventor's Signature: Patrick M. WhelanDate: 7/19/04 Country of Citizenship: UNITED STATES

Residence: VACAVILLE, CALIFORNIA 95688

P.O. Address: 318 KILGARVAN COURT  
VACAVILLE, CA 95688**This Declaration Ends With This Page**



# **CERTIFICATION UNDER 37 CFR 1.10**

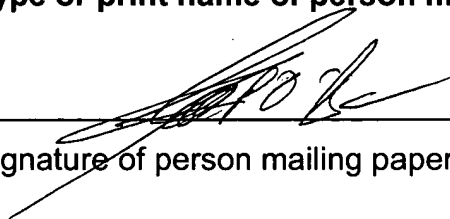
I hereby certify that the foregoing:

## **Supplemental Declaration**

is being deposited with the United States Postal Service on 7/27/04 in  
an envelope as "Express Mail Post Office to Addressee" Mailing Label Number  
EV352303067US addressed to the: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

JOHN P. O'BANION

**(Type or print name of person mailing paper)**



**(Signature of person mailing paper)**